



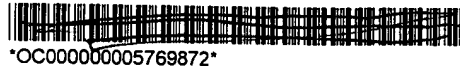
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/752.062	12/29/2000	David S. Bormann	42390.P9736

CONFIRMATION NO. 7748

FORMALITIES LETTER



OC000000005769872

John P. Ward
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Seventh Floor
12400 Wilshire Boulevard
Los Angeles, CA 90025-1026



Date Mailed: 02/15/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/14/2001 NYUSUF1 00000092 09752062

01 FE:105

130.00 OP



Attorney's Docket No.: 42390P9736

Patent

Sector
\$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
David S. Bormann, et al.) Examiner: Not yet assigned
Application No.: 09/752,062) Art Group: 2162
Filed: December 28, 2000)
For: METHOD AND APPARATUS)
FACILITATING DIRECT ACCESS TO)
A PARALLEL ATA DEVICE BY AN)
AUTONOMOUS SUBSYSTEM)

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
(FILING DATE GRANTED)

Sir:

In response to the Notice to File Missing parts of Application (Filing Date
Granted) mailed February 15, 2001, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the
above-referenced patent application;

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal
Service as first class mail with sufficient postage in an envelope addressed to Box Missing
Parts, Commissioner for Patents, Washington, D.C. 20231

on March 7, 2001

Date of Deposit

Dianne Neathery

Name of Person Mailing Correspondence

Dianne Neathery
Signature

3-7-01

Date

(2) a check in the amount of \$130.00 in payment of the surcharge of 37 C.F.R. § 1.16(e);

(3) a copy of the Notice to File Missing Parts of Application;

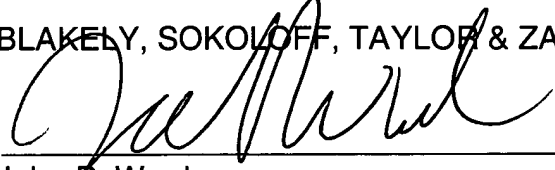
If any additional fee is required, please charge Deposit Account No. 02-2666.

A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: March 6, 2001



John P. Ward
Reg. No. 40,216

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026
(408) 720-8300



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov



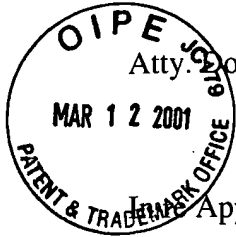
Bib Data Sheet

CONFIRMATION NO. 7748

SERIAL NUMBER 09/752,062	FILING DATE 12/28/2000 RULE	CLASS 705	GROUP ART UNIT 2162	ATTORNEY DOCKET NO. 42390P9736	
APPLICANTS David S. Bormann, Sunnyvale, CA; Chao-Hsin Chi, San Jose, CA; Frank P. Hart, Apex, NC; Dong Tieu, Union City, CA;					
** CONTINUING DATA ***** None <i>rs</i>					
** FOREIGN APPLICATIONS ***** None <i>rs</i>					
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 02/15/2001					
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after met <i>Allowance</i> Verified and <i>Examiner's Signature</i> Acknowledged <i>Initials</i>		STATE OR COUNTRY CA	SHEETS DRAWING 7	TOTAL CLAIMS 15	INDEPENDENT CLAIMS 4
ADDRESS John P. Ward BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP 7th Floor 12400 Wilshire Boulevard Los Angeles, CA 90025					
TITLE Method and apparatus facilitating direct access to a parallel ATA device by an autonomous subsystem					
FILING FEE RECEIVED 920	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		

Receipt

PATENT



Atty. Docket No. 42390P9736

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

David S. Bormann, et al.

Application No.: 09/752,062

Filed: December 28, 2001

For: METHOD AND APPARATUS
FACILITATING DIRECT ACCESS TO
A PARALLEL ATA DEVICE BY AN
AUTONOMOUS SUBSYSTEM

Commissioner for Patents
Washington, D.C. 20231

Examiner: Not yet assigned

Art Unit: 2162

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on March 7, 2001
Date of Deposit
DIANNE NEATHERY
Name of Person Mailing Correspondence
Dianne Neathery 3-7-01
Signature Date

REQUEST TO CORRECT AN ERROR IN THE FILING RECEIPT

Dear Sir:

For the above-referenced patent application, the filing date listed on the official Filing Receipt dated 02/15/2001 is:

12/29/2000

The correct date is:

12/28/2000

RECEIVED

JUN 19 2001

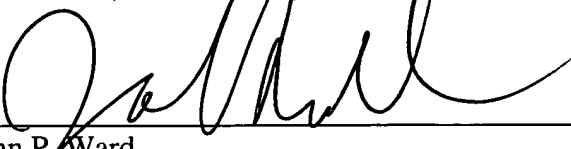
Technology Center 2100

Enclosed are a copy of the Filing Receipt and a copy of the Express Mail Receipt with the official Post Office stamp. Please change the Filing Receipt to reflect the correct date and forward a corrected copy to the undersigned.

Applicant believes no fee is due, however, if a fee is due, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP


John P. Ward
Reg. No. 40,216

Dated: March 6, 2000

12400 Wilshire Boulevard
Seventh Floor
Los Angeles, California 90025
(408) 720-8300



**POST OFFICE
TO ADDRESSEE**



EL617210203US

EL617210203US

SEE REVERSE SIDE FOR
SERVICE GUARANTEE AND LIMITS
ON INSURANCE COVERAGE



Customer Copy
Label 11-F July 1997

ORIGIN (POSTAL USE ONLY)			
PO ZIP Code 97101	Day of Delivery Next <input checked="" type="checkbox"/> Second <input type="checkbox"/>	Flat Rate Envelope <input type="checkbox"/>	
Date In 12 28 00	<input type="checkbox"/> 12 Noon <input checked="" type="checkbox"/> 3 PM	Postage \$ 15.75	
Time In AM <input checked="" type="checkbox"/> PM <input type="checkbox"/>	Military 2nd Day <input type="checkbox"/> 3rd Day <input type="checkbox"/>	Return Receipt Fee <input type="checkbox"/>	
Weight lbs. 2	Intl Alpha Country Code	COD Fee	Insurance Fee
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Clerk Initials	Total Postage & Fees \$ 15.75	

CUSTOMER USE ONLY	
METHOD OF PAYMENT:	
Express Mail Corporate Acct. No. X901254 0 42390	<input type="checkbox"/> WAIVER OF SIGNATURE (Domestic Only): Additional merchandise insurance is void if waiver of signature is requested. I wish delivery to be made without obtaining signature of addressee or addressee's agent (if delivery employee judges that article can be left in secure location) and I authorize that delivery employee's signature constitutes valid proof of delivery.
Federal Agency Acct. No. or Postal Service Acct. No.	<input type="checkbox"/> NO DELIVERY <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday
FROM: (PLEASE PRINT) John P. Ward BLAKELY, SOKULOFF, TAYLOR & ZAFMAN, LLP. 1279 OAKMEAD PKWY SUNNYVALE CA 94086-4040 42390.P9736 12-28-2000 td Inbe21	TO: (PLEASE PRINT) BOX Patent Application ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON DC 20231-0001

PRESS HARD.
You are making 3 copies.

FOR PICKUP OR TRACKING CALL 1-800-222-1811

www.usps.gov



RECEIVED

JUN 19 2001

Technology Center 2100



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/752,062	12/29/2000	2162	790	42390.P9736	7	15	4

CONFIRMATION NO. 7748

FILING RECEIPT

John P. Ward
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
Seventh Floor
12400 Wilshire Boulevard
Los Angeles, CA 90025-1026

RECEIVED
FEB 20 2001



OC000000005769871

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
LOS ANGELES

Date Mailed: 02/15/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

David S. Bormann, Sunnyvale, CA;
Chao-Hsin Chi, San Jose, CA;
Frank P. Hart, Apex, NC;
Dong Tieu, Residence Not Provided;

RECEIVED

FEB 20 2001

DIST. BY ADASE DEPT.

Jc

Continuing Data as Claimed by Applicant

Foreign Applications

ENTERED
FEB 20 2001

If Required, Foreign Filing License Granted 02/15/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

ENTERED

FEB 20 2001

STATUS DB-L*

Title

Method and apparatus facilitating direct access to a parallel ATA device by an autonomous subsystem

Preliminary Class
705



Data entry by : STEPHANOS, FEKERTE

Team : OIPE

Date: 02/15/2001



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**



GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



RECEIVED

JUN 1 2 2001

Technology Center 2100